



The Bright Side of Mathematics

Functional analysis - part 28

spectrum for bounded linear operators

Recall: $A \in \mathbb{C}^{n \times n}$ matrix with n rows and n columns.

$\lambda \in \mathbb{C}$ is called an eigenvalue of A if:

$$\exists x \in \mathbb{C}^n \setminus \{0\} : Ax = \lambda x$$

$$\Leftrightarrow \exists x \in \mathbb{C}^n \setminus \{0\} : (A - \lambda I)x = 0$$

$$\Leftrightarrow \text{Ker}(A - \lambda I) \neq \{0\} \quad \Leftrightarrow \text{map } x \mapsto (A - \lambda I)x \text{ not injective}$$

Rank-nullity theorem: For any matrix $M \in \mathbb{C}^{m \times n}$:

$$\dim(\text{Ran}(M)) + \dim(\text{Ker}(M)) = n$$

Now: Let X be a complex Banach space and $T: X \rightarrow X$ be a bounded linear operator.

Definition: The spectrum of T is defined by: $\sigma(T) := \{ \lambda \in \mathbb{C} \mid (T - \lambda I) \text{ not bijective} \}$

The resolvent set of T is defined by: $\rho(T) := \{ \lambda \in \mathbb{C} \mid (T - \lambda I) \text{ bijective and } (T - \lambda I)^{-1} \text{ bounded} \}$

bounded inverse theorem

$$\Rightarrow \sigma(T) = \mathbb{C} \setminus \rho(T)$$

We have the disjoint union: $\sigma(T) = \sigma_p(T) \cup \sigma_c(T) \cup \sigma_r(T)$

point spectrum $\sigma_p(T) := \{ \lambda \in \mathbb{C} \mid (T - \lambda I) \text{ not injective} \}$

continuous spectrum $\sigma_c(T) := \{ \lambda \in \mathbb{C} \mid (T - \lambda I) \text{ injective but not surjective with } \overline{\text{Ran}(T - \lambda I)} = X \}$

residual spectrum $\sigma_r(T) := \{ \lambda \in \mathbb{C} \mid (T - \lambda I) \text{ injective but not surjective with } \overline{\text{Ran}(T - \lambda I)} \neq X \}$